




Old Dominion University Research Foundation

To: Distribution

From: Julian F. Facenda
Director of Finance 

Date: April 3, 2007

Subject: Indirect Cost Allocation Report
For the Six-Month Period Ended December 31, 2006

Attached you will find the Indirect Cost (IDC) Allocation report for the six-month period ended December 31, 2006. This report provides a combined reporting of the two University approved IDC allocation methods currently in use: the Traditional Method and the Non-Traditional Method.

The Traditional Method covers the research activity of regular faculty members working within the capacity of their academic or administrative appointments and projects which are conducted through the Virginia Applied Technology and Professional Development Center (VATPDC). The Non-Traditional Method encompasses the remainder of the sponsored program activity of the University. Projects reported under this model are those of Self-Supporting Research Positions (SSRP), University approved research centers and other affiliated entities for which the Research Foundation provides fiscal and administrative services.

The following are some of the key points in the allocations:

- The amount distributed for the Research Foundation's operations is based upon 50% of its approved operating budget for the fiscal year. This cost, net of fees and funding from affiliated activities, has been allocated proportionately to the University activity in both allocation models and represents 37% of University indirect cost recoveries for the current six-month allocation period and 34% of total indirect cost recoveries.
- The mandated return to the Commonwealth of Virginia of \$144,482 for the six-month period has been allocated proportionately to the University activity in both allocation models and represents 5% of the related indirect cost recoveries.
- Funding for the Intellectual Property operating budget has been provided at an amount of \$96,255, determined at .5% of total University cost recoveries, and has been allocated proportionately to both allocation models. This represents 2% of indirect cost recoveries.
- The distribution ratio in each allocation model is based upon the relative percentage of each department's or center's indirect cost recoveries to total indirect cost recoveries in the allocation base.

Should you have any questions concerning the enclosed report please do not hesitate to contact me at 683-4293 ext.602 or via e-mail at jfacenda@odu.edu.

Old Dominion University Research Foundation
Indirect Cost Allocation Report
For the Six-month Period Ended December 31, 2006

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Distribution:

Complete Report Dr. Mohammad A. Karim
Dr. Thomas Iserhour
ODU Vice Presidents
ODURF Board of Trustees
Senior Academic Deans
Associate Deans for Research
Academic Department Chairs

**OLD DOMINION UNIVERSITY RESEARCH FOUNDATION
INDIRECT COST ALLOCATION REPORT
COMBINED SUMMARY OF TRADITIONAL, NON-TRADITIONAL AND AFFILIATED ALLOCATION METHODS**

**SCHEDULE OF DIRECT AND INDIRECT COST RECOVERIES, AND RECOVERY RATES
SIX MONTH PERIOD ENDING 12/31/06**

PERIOD	SIX-MONTH TOTAL 12/31/2006		TRADITIONAL ALLOCATION	NON-TRADITIONAL ALLOCATION	AFFILIATED ALLOCATION
	\$	% of Total IDC	\$	\$	\$
DIRECT COST RECOVERIES	16,010,395	83%	8,706,867	4,006,863	3,296,665
INDIRECT COST RECOVERIES	3,240,725	17%	1,687,211	1,063,605	489,909
TOTAL RECOVERIES	19,251,120	100%	10,394,078	5,070,468	3,786,574
INDIRECT COST RECOVERIES AND ALLOCATION		% of Total IDC	% of Total IDC	% of Total IDC	% of Total IDC
INDIRECT COST RECOVERIES:					
DEPARTMENTAL / ENTERPRISE CENTER PROJECTS	2,705,017	83%	1,652,836	1,040,349	11,832
SELF-SUPPORTING RESEARCH POSITIONS	23,256	1%	-	23,256	-
OTHER AFFILIATED ACTIVITIES	478,077	15%	-	-	478,077
VATPDC FEE	34,375	1%	34,375	-	-
TOTAL INDIRECT COST RECOVERIES	3,240,725	100%	1,687,211	1,063,605	489,909
EFFECTIVE OVERALL IDC RATE	20.24%		19.38%	26.54%	14.86%
EFFECTIVE FOUNDATION OVERHEAD RATE	6.94%		7.19%	9.85%	2.74%
EFFECTIVE UNIVERSITY OVERHEAD RATE	13.30%		12.19%	16.70%	12.12%
DISTRIBUTION OF IDC RECOVERIES:					
To: RESEARCH FOUNDATION FOR OPERATIONS	1,110,591	34%	625,811	394,506	90,274
To: ODU ACADEMIC AND AFFILIATED UNITS (See Detail Below)	2,130,133	66%	1,061,400	668,098	399,635
TOTAL DISTRIBUTION	3,240,724	100%	1,687,211	1,063,604	489,909
ODU AND AFFILIATED DISTRIBUTION					
ALLOCATED TO:					
COLLEGES / DEPARTMENTS / ENTERPRISE CENTERS	1,151,212	36%	576,184	575,028	-
OFFICE OF RESEARCH	310,253	10%	310,253	-	-
SELF-SUPPORTING RESEARCH POSITIONS	12,854	0%	-	12,854	-
OTHER AFFILIATED ACTIVITIES	399,635	12%	-	-	399,635
SUBTOTAL: ALLOCATION TO ACADEMIC / AFFILIATED UNITS	1,873,954	58%	886,437	587,882	399,635
OTHER UNIVERSITY DIRECTED DISTRIBUTIONS:					
MANDATED RETURN TO STATE	144,482	4%	88,618	55,864	-
INTELLECTUAL PROPERTY FUND	77,322	2%	51,970	25,352	-
VATPDC FEE	34,375	2%	34,375	-	-
SUBTOTAL: OTHER	256,179	8%	174,963	81,216	-
TOTAL IDC DISTRIBUTED TO ODU AND AFFILIATED UNITS	2,130,133	66%	1,061,400	669,098	399,635

Old Dominion University Research Foundation
Indirect Cost Allocation Report
For the Six-month Period Ended December 31, 2006

Section 1

Traditional Allocation Method

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**TRADITIONAL ALLOCATION METHOD
SCHEDULE 1**

OLD DOMINION UNIVERSITY RESEARCH FOUNDATION

**SCHEDULE OF DIRECT AND INDIRECT COST RECOVERIES, AND RECOVERY RATES
SIX MONTH PERIODS ENDING 12/31/06, 06/30/06, 12/31/05 AND PRIOR FISCAL YEAR**

PERIOD	7/1/2006 12/31/2006		1/1/2006 6/30/2006		7/1/2005 12/31/2005		TOTAL FY 06	
	\$	% of Total IDC	\$	% of Total IDC	\$	% of Total IDC		
DIRECT COST RECOVERIES	\$ 8,706,867	84%	\$ 8,616,344	84%	\$ 8,142,599	83%	\$ 16,758,943	84%
INDIRECT COST RECOVERIES	1,687,211	16%	1,672,646	16%	1,629,211	17%	3,301,857	16%
TOTAL RECOVERIES	\$ 10,394,078	100%	\$ 10,288,990	100%	\$ 9,771,810	100%	\$ 20,060,800	100%
TRADITIONAL ALLOCATION METHOD								
INDIRECT COST RECOVERIES:								
DEPARTMENTAL GENERATED PROJECTS	\$ 1,652,836	98%	\$ 1,666,421	100%	\$ 1,626,211	100%	\$ 3,292,632	100%
VATPDC FEE	34,375	2%	6,225	0%	3,000	0%	9,225	0%
TOTAL INDIRECT COST RECOVERIES	\$ 1,687,211	100%	\$ 1,672,646	100%	\$ 1,629,211	100%	\$ 3,301,857	100%
EFFECTIVE OVERALL IDC RATE	19.38%		19.41%		20.01%		19.70%	
EFFECTIVE FOUNDATION OVERHEAD RATE	7.19%		6.45%		6.95%		6.69%	
EFFECTIVE UNIVERSITY OVERHEAD RATE	12.19%		12.96%		13.06%		13.01%	
DISTRIBUTION OF IDC RECOVERIES:								
To: RESEARCH FOUNDATION FOR OPERATIONS	\$ 625,811	37%	\$ 555,547	33%	\$ 585,833	35%	\$ 1,121,380	34%
To: ODU (See Detail Below)	1,061,400	63%	1,117,099	67%	1,063,378	65%	2,180,477	66%
TOTAL DISTRIBUTION	\$ 1,687,211	100%	\$ 1,672,646	100%	\$ 1,629,211	100%	\$ 3,301,857	100%
ODU DISTRIBUTION								
ALLOCATED TO :								
COLLEGES / DEPARTMENTS	\$ 576,184	34%	\$ 639,133	38%	\$ 607,826	37%	\$ 1,246,959	38%
OFFICE OF RESEARCH	310,253	18%	344,148	21%	327,291	20%	671,439	20%
SUBTOTAL: ALLOCATION TO ACADEMIC UNITS	886,437	52%	983,281	59%	935,117	57%	1,918,398	58%
OTHER UNIVERSITY DIRECTED DISTRIBUTIONS:								
MANDATED RETURN TO STATE	88,618	5%	76,148	5%	76,402	5%	152,550	5%
INTELLECTUAL PROPERTY FUND	51,970	3%	51,445	3%	48,859	3%	100,304	3%
VATPDC FEE	34,375	3%	6,225	0%	3,000	0%	9,225	0%
OFFICE OF RESEARCH - COST SHARE	-	0%	-	0%	-	0%	-	0%
SUBTOTAL: OTHER	174,963	11%	133,818	8%	128,261	8%	262,079	8%
TOTAL IDC DISTRIBUTED TO ODU	\$ 1,061,400	63%	\$ 1,117,099	67%	\$ 1,063,378	65%	\$ 2,180,477	66%

OLD DOMINION UNIVERSITY RESEARCH FOUNDATION
 ALLOCATION TO ACADEMIC UNITS - TRADITIONAL ALLOCATION METHOD
 SIX MONTH PERIOD ENDING DECEMBER 31, 2006

College	Department	INDIRECT COST RECOVERY	Percentage of Allocation Base	Based on Department IDC Productivity 50%	Based on College IDC Productivity 15%	College Allocation	Office of Research 35%	TOTAL ALLOCATED
College of Arts and Letters	English	-	0.00%	-	-	-	-	-
	History	2,277	0.14%	611	-	-	-	-
	Political Science and Geography	8,388	0.51%	2,249	-	-	-	-
	Sociology and Criminal Justice	10,233	0.62%	2,744	-	-	-	-
	Interdisciplinary Studies	-	0.00%	-	-	-	-	-
Total	College of Arts and Letters	\$ 20,898	1.27%	\$ 5,604	\$ 1,681	\$ 7,285	\$ 3,926	\$ 11,211
College of Business and Public Administration	Information Systems / Decision Sciences	451	0.03%	121	-	-	-	-
	Economics	7,516	0.45%	2,015	-	-	-	-
Total	College of Business and Public Administration	\$ 7,967	0.48%	\$ 2,136	\$ 641	\$ 2,777	\$ 1,495	\$ 4,272
Darden College of Education	Dean's Office	20,181	1.22%	5,412	-	-	-	-
	Educational Curriculum and Instruction	31,669	1.92%	8,492	-	-	-	-
	Occupational/Technical Studies	37,051	2.24%	9,936	-	-	-	-
	Exercise Science, Physical Education and Recreation	4,028	0.24%	1,080	-	-	-	-
	Early Childhood, Speech Language, Pathology, Special Education	77,044	4.66%	20,660	-	-	-	-
	Educational Leadership & Counseling	11,888	0.72%	3,188	-	-	-	-
Total	Darden College of Education	\$ 181,861	11.00%	\$ 48,767	\$ 14,630	\$ 63,397	\$ 34,137	\$ 97,534
College of Engineering	Dean's Office	19,338	1.17%	5,186	-	-	-	-
	Civil and Environmental Engineering	40,046	2.42%	10,739	-	-	-	-
	Electrical and Computer Engineering	137,092	8.29%	36,762	-	-	-	-
	Mechanical Engineering	37,673	2.28%	10,102	-	-	-	-
	Engineering Technology	5,996	0.36%	1,608	-	-	-	-
	Engineering Management	30,043	1.82%	8,056	-	-	-	-
	Aerospace Engineering	36,935	2.23%	9,904	-	-	-	-
	Virginia Applied Technology & Professional Development Center	520	0.03%	140	-	-	-	-
	VATPDC - Special Fee	34,375	N/A	N/A	-	-	-	-
Total	College of Engineering	\$ 342,018	18.61%	\$ 82,497	\$ 24,749	\$ 107,246	\$ 57,748	\$ 164,994

SCIENCE JLE 2
OLD DOMINION UNIVERSITY RESEARCH FOUNDATION
ALLOCATION TO ACADEMIC UNITS - TRADITIONAL ALLOCATION METHOD
SIX MONTH PERIOD ENDING DECEMBER 31, 2006

College	Department	INDIRECT COST RECOVERY	Percentage of Allocation Base	Based on Department IDC Productivity 50%	Based on College IDC Productivity 15%	College Allocation	Office of Research 35%	TOTAL ALLOCATED
				\$	\$	\$	\$	\$
College of Health Sciences	Dean's Office	12,123	0.73%	3,251				
	Community & Environmental Health	356	0.02%	95				
	Dental Hygiene	316	0.02%	85				
	Nursing	9,146	0.55%	2,453				
Total	College of Health Sciences	\$ 21,941	1.33%	\$ 5,884	\$ 1,765	\$ 7,649	\$ 4,117	\$ 11,766
College of Sciences	Dean's Office	-	0.00%	-				
	Biological Sciences	205,345	12.42%	55,065				
	Chemistry and Biochemistry	142,748	8.64%	38,279				
	Mathematics and Statistics	54,335	3.29%	14,570				
	Ocean, Earth and Atmospheric Sciences	231,251	13.99%	62,014				
	Center for Coastal Physical Oceanography	137,119	8.30%	36,769				
	Psychology	80,958	4.90%	21,709				
	Physics	115,410	6.98%	30,948				
	Computer Science	126,359	7.65%	33,884				
	Total	College of Sciences	\$ 1,093,535	66.16%	\$ 293,238	\$ 87,971	\$ 381,209	\$ 205,265
Other	Virginia Tidewater Consortium	17,830	1.08%	4,781				
	Institutional Research	1,161	0.07%	312				
	Office of Research		0.00%	-				
Total	Other	\$ 18,991	1.15%	\$ 5,093	\$ 1,528	\$ 6,621	\$ 3,565	\$ 10,186
Grand Total	Total	\$ 1,687,211	100.00%	\$ 443,219	\$ 132,965	\$ 576,184	\$ 310,253	\$ 886,437

Calculation of Allocation Base	\$ 1,687,211
Less: VATPDC Special Fee Allocation Base	\$ (34,375)
	\$ 1,652,836

SC 3
 OLD DOMINION UNIVERSITY RESEARCH FOUNDATION
 DETAIL ACTIVITY BY COLLEGE AND DEPARTMENT - TRADITIONAL ALLOCATION
 FOR THE SIX-MONTH PERIOD ENDED DECEMBER 31, 2006

College	Department	Principal Investigator	Agency Title or Reference	Project #	FUND	DEPT	DEPARTMENT	IDC RATE	DIRECT COSTS	INDIRECT COSTS	TOTAL COSTS	Effective Indirect Rate	Allocation Percentage	Department Allocation			
College of Arts and Letters	English	SEIBLES, T. * Department Total:	NCA 29TH ANNUAL LITERARY FEST	564281	21	1012	ENGLISH	0.00%	2,000.00	0.00	2,000.00	0.00%	0.00%	-			
										2,000.00		2,000.00		0.00%	-		
A&L	History	SWEENEY, J. * Department Total:	NHCPS BEYOND HISTORY	564551	20	1014	HISTORY	26.00%	8,759.49	2,277.47	11,036.96	26.00%	0.14%	610.72			
A&L	Political Science and Geography	BEHR, J. CHEN, J. * Department Total:	ODU OF RSH HEALTH MATTERS NSF PRIVATE ENTRE CHANGE AGENT	562371 360591	21 20	1018 1018	POLITICAL SCI & POLITICAL SCI &	0.00% 42.00%	8,109.66 20,720.02	0.00 8,387.81	8,109.66 29,107.83	0.00% 40.48%	0.00% 0.51%	- 2,249.24			
A&L	Sociology and Criminal Justice	GAINES, R. * Department Total:	ODU/DH#715C51852	552351	20	1019	SOCIOLOGY & CRIM	10.00%	1,458.13	145.82	1,603.95	10.00%	0.01%	39.10			
A&L	Sociology	GAINES, R.	SUFFOLK YOUTH VIOLENCE	563461	21	1019	SOCIOLOGY & CRIM	26.00%	4,735.54	1,231.35	5,966.89	26.00%	0.07%	330.19			
A&L	Sociology	PAYNE, B.	VCU #530626 PO P100344 MOD2	551643	20	1019	SOCIOLOGY & CRIM	26.00%	3,396.98	(1,110.76)	2,286.22	-32.70%	-0.07%	(297.86)			
A&L	Sociology	PAYNE, B.	ODU/DH#715C51852	552351	20	1019	SOCIOLOGY & CRIM	10.00%	1,415.25	141.53	1,556.78	10.00%	0.01%	37.95			
A&L	Sociology	PAYNE, B.	SUFFOLK YOUTH VIOLENCE	563461	21	1019	SOCIOLOGY & CRIM	26.00%	4,735.54	1,231.35	5,966.89	26.00%	0.07%	330.19			
A&L	Sociology	WHITAKER, I.	VA CTR FOR URBAN EDUCATION S#1	320841	20	4055	SOCIOLOGY & CRIM	8.00%	125.04	3.38	128.42	2.71%	0.00%	0.91			
A&L	Sociology	WHITAKER, I.	VA CENTER FOR URBAN ED: CHROME	320843	20	4055	SOCIOLOGY & CRIM	8.00%	92.42	7.39	99.81	8.00%	0.00%	1.98			
A&L	Sociology	YANG, X.	NIH-MOBILITY, DRUG USE AND AIDS	300871	20	1019	SOCIOLOGY & CRIM	42.00%	19,598.61	8,231.41	27,830.02	42.00%	0.50%	2,207.31			
A&L	Sociology	YANG, X.	NIH HIV INTERVENTION AMONG	354491	20	1019	SOCIOLOGY & CRIM	42.00%	835.84	351.05	1,186.89	42.00%	0.02%	94.14			
A&L	Interdisciplinary Studies	OLANDER, R. * Department Total:	ODU/VD0E TEACHER QUAL ENHANCE	551541	20	1021	INTERDISCIPL STDY	0.00%	176.73	0.00	176.73	0.00%	0.00%	-			
												** College Total	\$ 76,159.25	\$ 20,897.80	\$ 97,057.05	1.26%	\$ 5,603.87